



Query Expansion with Semantic-based Ellipsis Reduction for Conversational IR

ASCFDA

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Agenda

1. Introduction
2. Coreference Query Reformation (CQR)
3. Semantic-based Ellipsis Reduction (SER)
4. Retrieve & Rerank
5. Manually rewritten utterance
6. Results & Conclusion



1. Introduction

“You're a wizard, Harry.”

1-1. Problem Definition

- Topic_number: 83

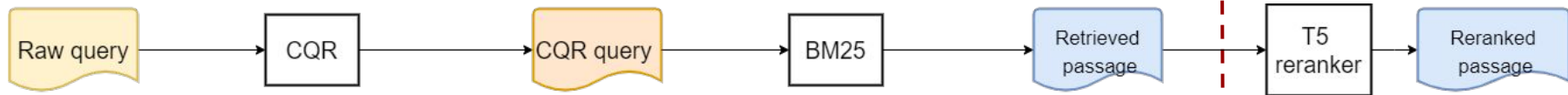
Raw Query	
83-1	What are some interesting facts about bees ←
83-2	Why doesn't it spoil ?
83-3	Why are so many dying ? subject missing
83-4	What can be done to stop it ?
83-5	What has happened to their habitat?

1-2. Query Ambiguity

- In a conversational system, the semantic ambiguity may come from:
 1. Expressing the same thing by various kinds of words
 2. Pronoun usage
 3. Omitting the repeating subjects
- The potential sources of supplement information to fix it:
 1. Historical queries
 2. Highly correlated passages

1-3. Pipeline (Baseline)

1st expansion + 1st retrieve

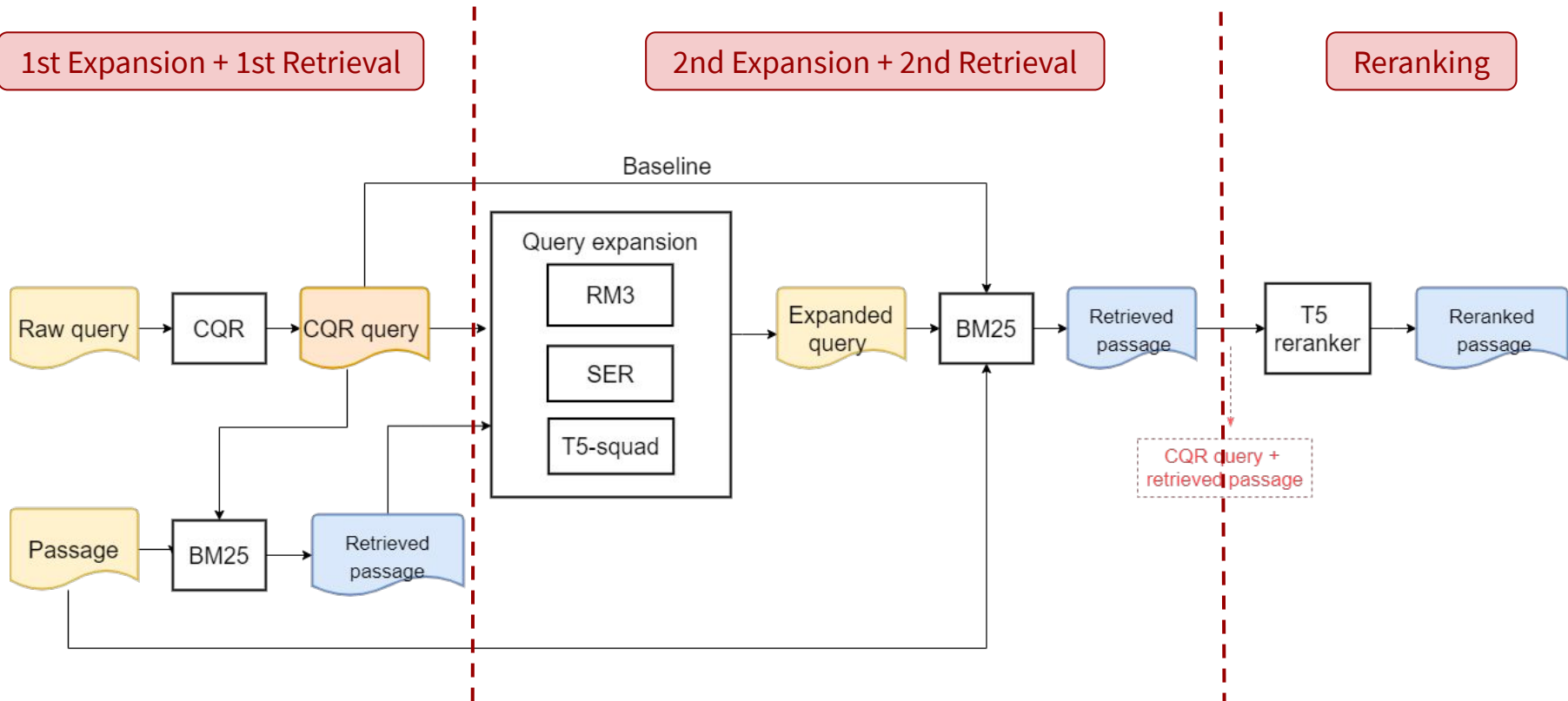


Rerank

1st Expansion + 1st Retrieval

2nd Expansion + 2nd Retrieval

Reranking

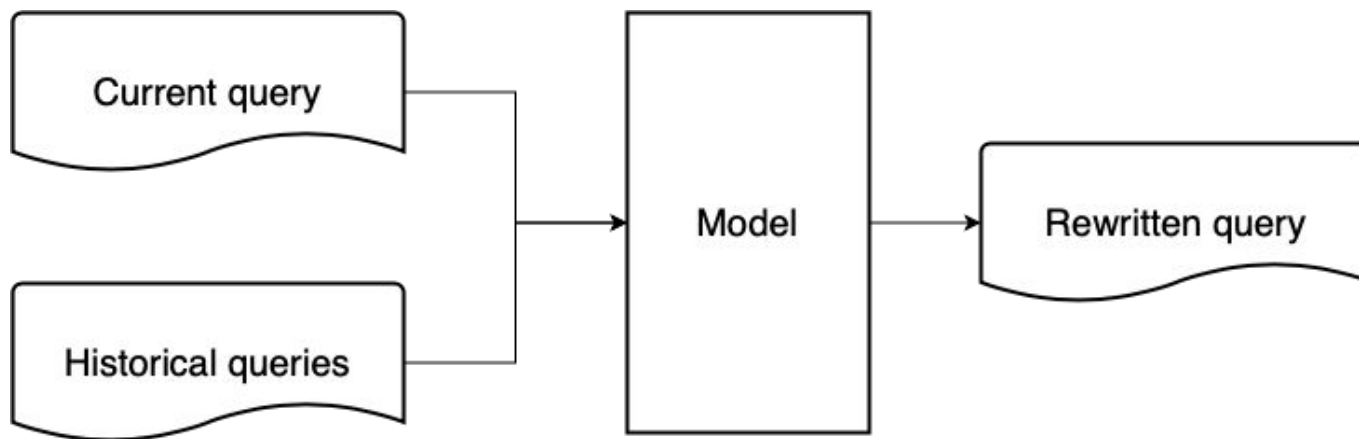




2. Coreference Query Reformation (CQR)

“Oh yes, the past can hurt. But you can either run from it, or learn from it.”

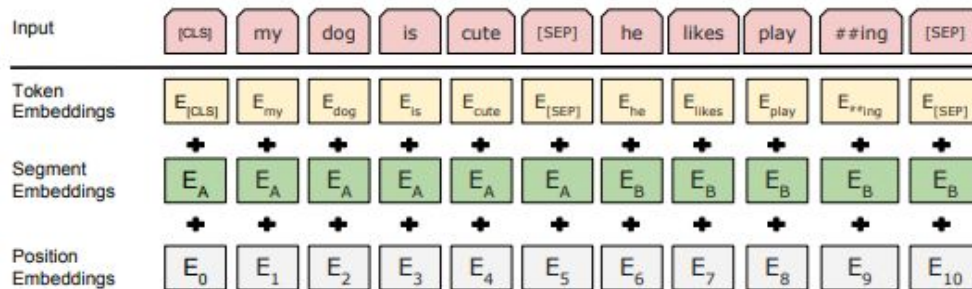
2-1. Coreference Query Reformation



2-2. Introduction of Transformers

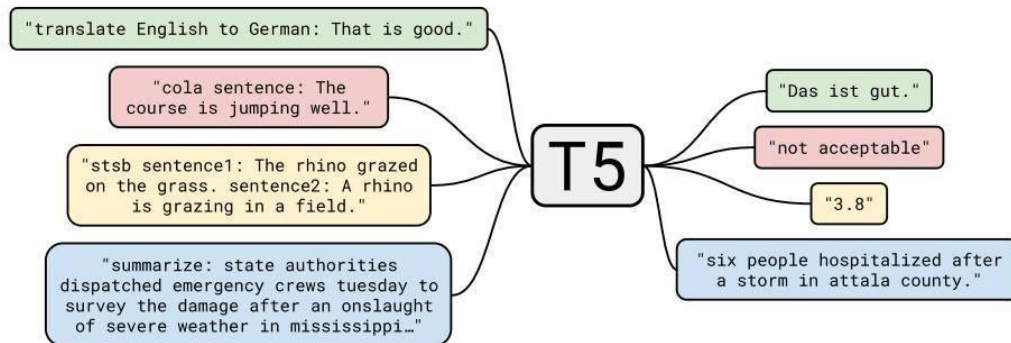
BERT

Devlin, J., Chang, M. W., Lee, K., & Toutanova, K. (2018). *Bert: Pre-training of deep bidirectional transformers for language understanding*. arXiv preprint arXiv:1810.04805.



T5

Raffel, C., Shazeer, N., Roberts, A., Lee, K., Narang, S., Matena, M., ... & Liu, P. J. (2019). *Exploring the limits of transfer learning with a unified text-to-text transformer*. arXiv preprint arXiv:1910.10683.



2-3. CQR model (T5-CQR)

- Pretrained model: T5-based
- Fine-tuning
 - Dataset: CANARD
 - Dialog with rewritten questions
- Inference
 - Input:
 - only use a query of each turn
 - Output:
 - rewritten query of the last one in input

Input	
Metadata	"Ara Parseghian", "First national title", "When did Ara Parseghian's win his first title.", "In 1966,"
Question query₂	"What was their record for that year?",

Output	
Rewrite query₂*	"What was Ara Parseghian's record for 1966?",

Let's see how fantastic the CQR is...

Raw Query

83-1 What are some interesting facts about **bees**

83-2 Why doesn't **it** spoil ?

83-3 Why are so many dying ?

83-4 What can be done to stop **it** ?

83-5 What has happened to **their** habitat?

CQR Query

83-1 What are some interesting facts about **bees**

83-2 Why doesn't **bees** spoil ?

83-3 Why are so many **dying from bees** ?

83-4 What can be done to stop **bees dying** ?

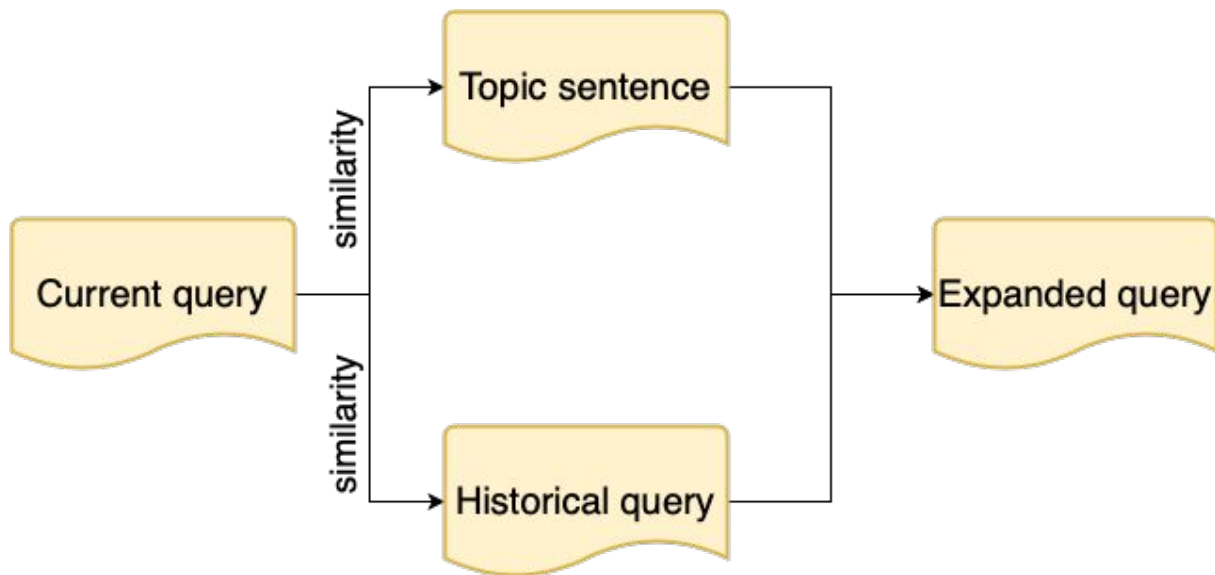
83-5 What has happened to **bees** habitat ?



3. Semantic-based Ellipsis Reduction (SER)

“Whoever you are— I have always depended on the kindness of strangers.”

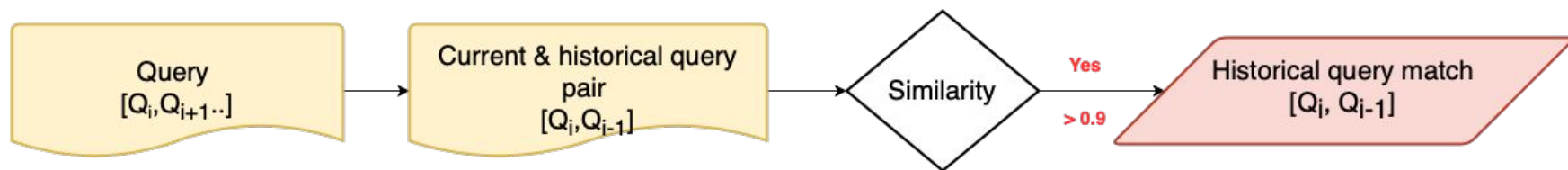
3-2. SER Model



3-3. Historical Queries

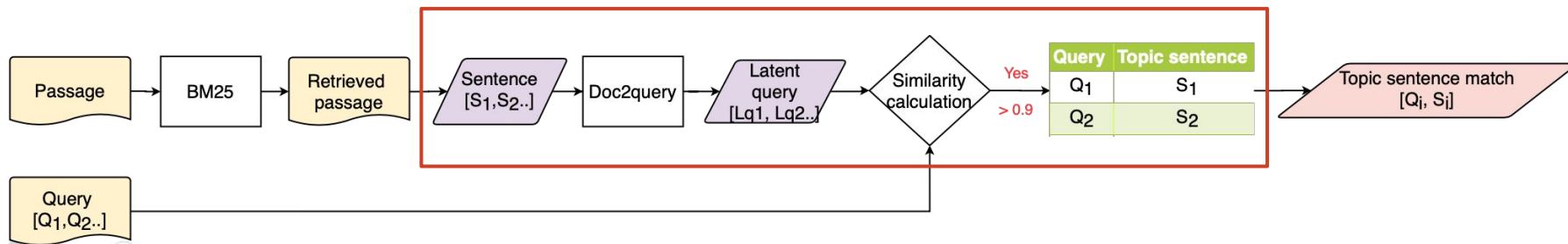
- Transformer model: roberta-large
 - Trained on NLI + STSb
- Cosine Similarity Threshold: 0.8 ~ 0.9
 - Too high similarity is meaningless

historical	83-3	Why are so many dying from bees ?
current	83-4	What can be done to stop bees dying ?



3-4. Topic Sentence

- Sentence + doc2query \rightarrow latent query
- Transformer model: roberta-large
 - trained on NLI+STSb
- Cosine Similarity Threshold: 0.9





4. Retrieve & Rerank

“You will ride eternal, shiny and chrome.”

4-1. Retrieve & Rerank



4-1. Retrieve & Rerank

- ◎ Two-step Retrieve:
 - CQR query + Okapi BM25 -> retrieve 2000.
 - SER query + Okapi BM25 -> retrieve 1000.

- ◎ Rerank:
 - CQR query + T5 model



5. Manually rewritten utterance

“Just keep swimming.”

5-1. T5-SQuAD for Query Expansion

- Purpose
 - To extract the keywords of manual responses with queries information
- Method description
 - Use the **manual result** as the content of T5-squad pretrained model
 - And ask the **CQR utterance** to T5-SQuAD pretrained model
 - Expand CQR utterances with the answer of T5-squad pretrained model

SQuAD Example

Paragraph:

...These **later laws** had a low cost to society—the species were relatively rare—and little opposition was raised.

Question:

Which laws faced significant **opposition**?

Answer:

Later laws

T5-SQuAD for QE

Paragraph:

Manual_response_1

Question:

Query_1

Answer:

The QE materials

} **QE: Query_1 + QE materials**

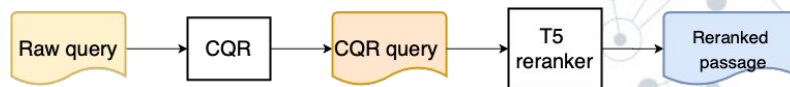


6. Results & Conclusion

Do Androids Dream of Electric Sheep?

6-1. Results

- Baseline model performs the best.
- QE(RM3) and QE(SER) reach higher recalls than baseline.



Stage	Trec 2019					Trec 2020				
	Retrieve			Rerank		Retrieve + Rerank				
Raw utterance only	mAP@1000	R@1000	R@2000	mAP@1000	R@1000	mAP@1000	R@1000	NDCG@3	NDCG@5	NDCG@1000
Raw queries	0.1077	0.4182	0.4681	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Baseline	0.2497	0.7628	0.8260	0.3724	0.8060	0.3096	0.6106	0.4579	0.4472	0.4943
QE(RM3)	0.2845	0.8024	0.8563	0.3695	0.8252	0.3092	0.6405	0.4511	0.4362	0.5003
QE(SER)	0.2434	0.7674	0.8288	0.3713	0.8089	0.3090	0.6131	0.4576	0.4456	0.4934
Manually rewritten utterance	mAP@1000	R@1000	R@2000	mAP@1000	R@1000	mAP@1000	R@1000	NDCG@3	NDCG@5	NDCG@1000
QE(T5-squad)	N/A	N/A	N/A	N/A	N/A	0.3102	0.6498	0.4663	0.4514	0.5131

6-2. Conclusion

- The mismatch between queries and documents is crucial in a conversational task.
- The considerable potential of a semantic-based relevance-feedback method.
- T5 domination. “When in doubt, C4!”

**Cheers.
Thank you for
your Attention!**



Result of the SER

CQR Query	Top Sentence Extracted by SER
95-6 Tell me more about biodegradable plastics.	Biodegradable plastics are plastics that decompose by the action of living organisms, usually bacteria
102-9 How much of an increase is there in social security?	How much faster will it grow as a share of the economy? Social Security benefits amounted to 4.9 percent of GDP in 2014
88-4 Why was slavery important? (in the Ottman Empire)	Why was slavery so important to the American South during the period near the Civil War? ...

